

For computer audio, click Audio Broadcast

For phone audio,

Dial: 888-942-9644;

Passcode: 7743592#



Census Resources

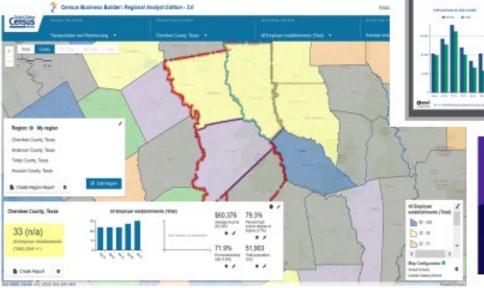
Population Aged 65 Years



Total Non-Employer

Census Resources

- Census COVID-19 Data Hub
- · Census Business Builder
- Trade Source Newsletter



US Demographic and Economic Data At A Glance

Total Uninsured Population







Census Resources



Contact Information

International Trade Help Line: 800-549-0595

- Automated Export System, Option 1
- Schedule B Commodity Classification, Option 2
- Foreign Trade Regulations and Export Filing Requirements, Option 3
- Trade Data Productions, Option 4
- Outreach Activities, Option 5

Census Academy www.census.gov/academy

Census Trade Podcast https://www.youtube.com/watch?v=xvqNNmzM2Jc&t=47s



Introduction



About DDTC:

Mission: Ensuring commercial exports of defense articles and defense services advance U.S. national security and foreign policy objectives.

- Organizational Structure:
 - Policy Office (DTCP)
 - Licensing Office (DTCL)
 - Compliance Office (DTCC)
 - Management Office (DTCM)
- Key Functions:
 - Establishing and maintaining defense export regulations (ITAR)
 - Registering entities and individuals
 - Adjudicating export licenses
 - Responding to inquiries
 - Promoting and enforcing compliance

About the Speakers:

Travis Bryant, Ryan Hebein, and Dan Cook support the Registration & Compliance Analysis (RCA) team within the Compliance Office.

Charlie Liebetrau and Chris Radcliffe support the IT Modernization team at DDTC by providing trainings, developing strategic communications, and DECCS outreach.



Agenda



- 1. Registration Overview
- 2. New Registration
- Renewing Registrations (+ Lapsed)
- **4.** Registration Amendments
- **5.** Q&A Period

How to Ask Questions

All lines are muted

Please enter your questions in the WebEx chat

DECCS Registration *Overview*



Why register with DDTC?



Purpose

The Arms Export Control Act requires that all manufacturers, exporters, temporary importers, and brokers of defense articles (including technical data) as defined on the United States Munitions List and furnishers of defense services are required to register with the Directorate of Defense Trade Controls (DDTC).



Why register with DDTC?



In practice...

- It is primarily a means to provide the U.S. Government with necessary information on who is involved in certain ITAR controlled activities and does not confer any export or temporary import rights or privileges.
- Registration is generally a precondition for the issuance of any license or other approval and use of certain exemptions.



Registration Types





Manufacturers/Exporter (M)

Assigned to a manufacturer and/or exporter



Broker (K)

- Assigned to a Broker
- *Cannot apply for an exporter license

Important Consideration

The Registration code is proprietary to the registrant and should be handled as such. Company registration codes should not be posted online or given out to the public.



Registration Timing



Validity Period

- The period of validity for new and renewal registrations is twelve (12) months from the date of issuance
- Registrations are NOT automatically renewed

Review Times

- The average review time for a registration request is 45 days.
- You may begin preparing your registration up to 90 days in advance of your expiration
- You may submit your renewal up to a maximum of 60 days in advance of the renewal expiration

DECCS Registration

New Registration

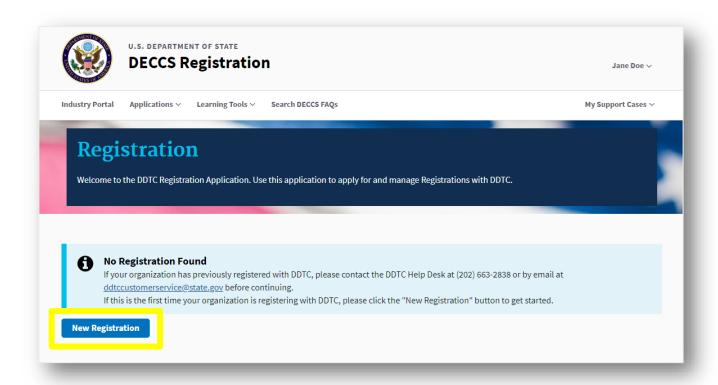


New Registration



This is a view into the Registration page for companies and individuals who are **not yet** registered with DDTC.

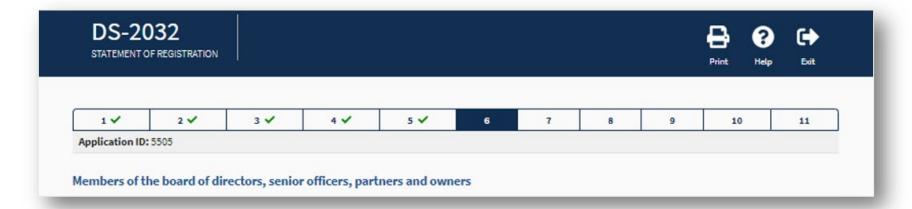
Let's review a few key sections of the registration form that may result in RWA situations or are commonly overlooked.





Senior Officer Entries





Block 6 allows the user to enter members of the board of directors, senior officers, partners and owners. DDTC Analysts and Officers may RWA submitted registration applications due to insufficient information entered in this section.

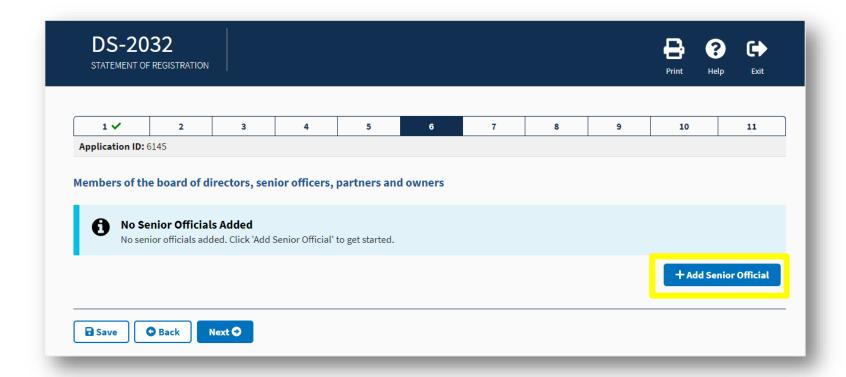
Be sure to list **ALL** executives and officials (e.g., members of the board of directors, senior officers, partners and owners).

These contacts should match the organization chart attached in the final block of the registration application.



Senior Officer Entries







Senior Officer Entries



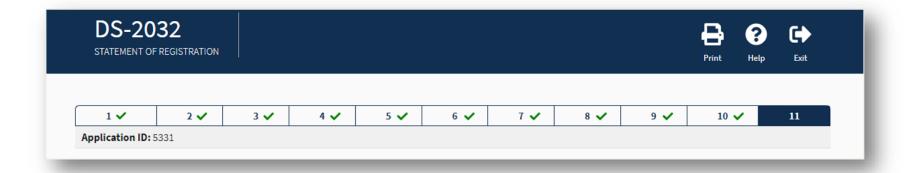
DS-20 STATEMENT O	32 FREGISTRATION								Print	? Help	C → Exit	
1 🗸	2 🗸											7
Application ID:		3 🗸	4 🗸	5 🗸	6	7	8	9	10		11	J
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Board Membe	r/Officer/Partne	r/Owner #1									-	
* Member Typ	oe											
Natural Pe												
Entity												
*!! C D												
* U.S. Person Yes												
O No												
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* Has been ind	dicted or otherwis	e charged (e.g., o	harged by crimin	nal information in	lieu of indictr	nent) for or co	nvicted of vic	olating any o	f the U.S. ci	riminal sta	atuses	
enumerated in	n 22 CFR 120.27 or t of greater than 1	r violating a forei										
imprisoriment	. Of greater than 1	year.										
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○ No											_	
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Save	⊙ Back Ne	ext 🗘										

* Member Type
Natural Person
○ Entity
*U.S. Person
● Yes
○ No
* Position/Title
Chief Executive Officer
* First Name
James
* Middle Name
☑ None
* Last Name
Jones
* Citizenship
United States
Selected Countries:
United States 😵
* Date of Birth
Month Day Year
1 12 1955
1 12 1555
* Birth Country
United States
* Country of Residence
United States
* Telephone
1231231234
* Email
JamesJonesMan@yahoo.com



Creating a Corporate Administrator





Block 11 allows users to create a Corporate Administrator. Make sure to inform the individual of their position within the DECCS registered company/entity, as well as the access and responsibilities which come with the role.

Corporate Administrators are the central authority for DECCS registered companies/entities and have the responsibility of:

- Managing access and security roles for users
- Managing License Access Groups
- Assigning other Corporate Administrators



Creating a Corporate Administrator



Creating a NEW DS-2032 allows users to assign one individual as a corporate administrator.

It's strongly recommended, though, that all registered companies and entities have at least 2 assigned corporate administrators.

To assign more than one, entities and companies must meet the following criteria:

- Possess an issued DS-2032
- Assign at least (1) Corporate Administrator
- Have access to the User Management Application

Organizational Chart			
Organizational Chart			
Choose File No file chosen			
Designate a Corporate Administr	ator		
* First Name			
<u> </u>			
* Last Name		¬	
* Position/Title			
* Telephone		¬ .	
* Email			
Lindik			
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		F	rivacy Act Statement
AUTHORITIES: U.S. Department of Sta U.S.C. 2778(b)(1)(A)(i), 22 CFR Part 122	ate's authorities to register person, and Executive Order 13637. Th		
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PURPOSE: The information gathered	through registration is used to id	dentify individu	
			nent and transactions
PURPOSE: The information gathered to State will use this information to build ROUTINE USES: The information solice	and maintain records of owners	ship, managen ble to appropr	iate agencies for law e
PURPOSE: The information gathered state will use this information to build	and maintain records of owners	ship, managen ble to appropr	iate agencies for law e
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Creating a Corporate Administrator



To grant the Corporate Administrator role to another user, click on the box in the "CA" column, as highlighted below.

Be sure to inform the user of their newly granted role and establish lines of communication.

	Large Machi	ines				
	Registrations					
	Code	Туре		Status	Expiration	
	M30074	Manufacturer/Exp	orter	Approved	2022-09-30	
	Jser Requests equests for Compa	ny Access that are pend	ng action			
There are no R Company Users that are	equests for Compa	ny Access that are pend mpany immediately saved.	ng action			



Registration Statuses



After submitting the DS-2032 to DDTC for review, the registration page will display the pending submitted registration, along with its status. Statuses change, and we urge users to check back frequently for updates.

These statuses update immediately. Use the system to your advantage!

Registration Statuses:

- Draft
- Awaiting Applicant Senior Officer Signature
- Awaiting DDTC Analyst Review
- Awaiting DDTC Officer Review
- Pending Payment
- Completed
- Cancelled
- Payment Cancelled
- Payment Transaction Error
- RWA (Returned Without Action)

After users have submitted the registration, the action then falls on the Senior Officer, not yet DDTC.

In Progress

Application Id: 3807

Form: DS-2032 Action: New

Registration Type: Manufacturer/Exporter, Broker (US Person)

Status: Awaiting DDTC Analyst Review



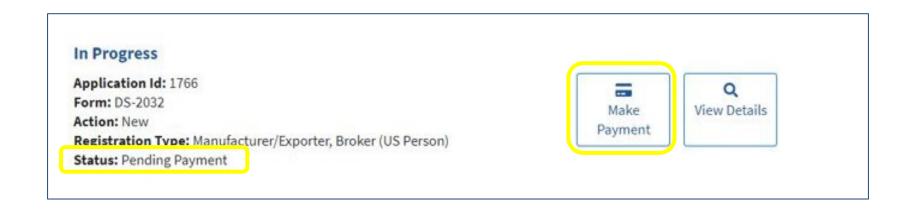
Making a Registration Payment



After DDTC issues the DS-2032, the registration application's status will change to "Pending Payment." To make this payment, follow the instructions presented on the dashboard, or reference one of DDTC's knowledge articles on completing registration payments.

Important notes about completing the registration payment:

- An email is sent from DDTC to the user alerting that the registration is ready to be paid
- Registrations must be paid within 21 calendar days of being issued. If the Registration is not paid for, it will be RWA'ed.



DECCS Registration *Registration Renewal*



Registration Renewal



Before the registration is set to expire, companies and entities have the option to begin the renewal process.

Registration renewals can be prepared up to 90 days in advance of expiration and submitted up to 60 days in advance.

Registrations do not automatically renew. Users must login to DECCS and manually renew. If not, the registration will expire. Users **should not** cancel registrations if they **do no plan to renew**, just let it expire!

	Stration he DDTC Registration Ap	plication. Use this application to app	oly for and manage Registrations with DDTC.	
ctive Registr. egistration Cod egistration Typ xpires On: 04/30 pplication Hi	le: M30126 e: Manufacturer 0/2021		>>	
DS-2032	#4213 - New	Manufacturer		M30126
		Submitted: 03/15/2021	Processed: 03/15/2021	C ^l Renew



Renewal Best Practices



Timing and Alerts

Always be sure to begin renewing registration applications as early as possible. We recommend users to also set alerts on their calendars to remind them of the 90-day preparation and 60-day submission availabilities.

Multiple Parties Involved

Another great tip is ensuring that more than one person is involved in the process. Like our corporate administrator recommendation (designating more than 1), having more than one individual involved in the renewal process covers all bases in case someone is out of the office, on vacation, etc.

State.gov Email

We recommend corporate administrators, POCs, and DECCS users take the time to whitelist state.gov within their email to avoid missing important updates!



Lapsed Registrations



If a company or entity has not renewed their registration by the time it expires, the DS-2032 is then considered to be a "lapsed registration."

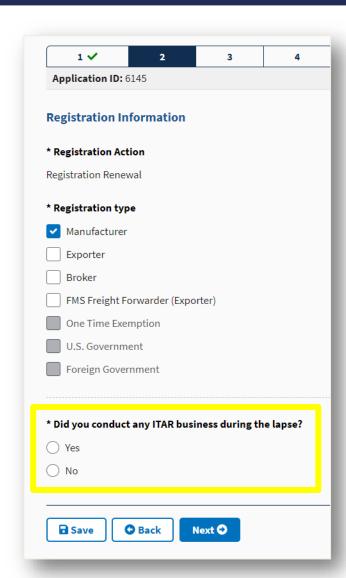
The registration is expired, not deleted. If the entity or company conducts ITAR related business during the lapsed period, they will accrue lapsed fees.

While registrations are lapsed:

- Active licenses are paused
- Brokers must submit "broker reports" when renewing

While renewing the DS-2032, if users indicate that they have conducted ITAR business during the lapse, they will be prompted to:

- Fill out date range for which the lapse relates
- Indicate if a Voluntary Disclosure has been filed with DDTC





Registration was RWA'ed, what now?



Registration applications may be Returned Without Action, or RWA'ed, if the DDTC analyst or officer requires further information to complete their review. After a registration application has been RWA'ed, the DS-2032 will be reverted into draft status (regardless if it is a new application or a renewal).

When a DS-2032 has been RWA'ed, users may see DDTC Analyst or Officer feedback by:

- Clicking on the hyperlinked case ID number under "Application History"
- Navigate to the "Feedback" tab
- Review the information provided by the DDTC Analyst or Officer

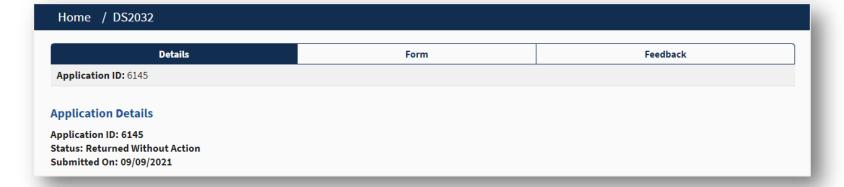
Let's take a moment to review what that all looks like

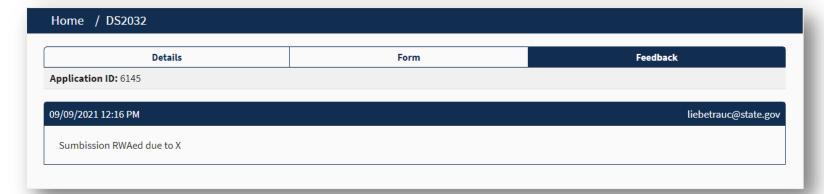


Registration was RWA'ed, what now?



Application History DS-2032 #6145 - Renew Manufacturer M30064 Returned Without Action Submitted: 09/09/2021 Processed: 09/09/2021





DECCS Registration *Registration Amendments*

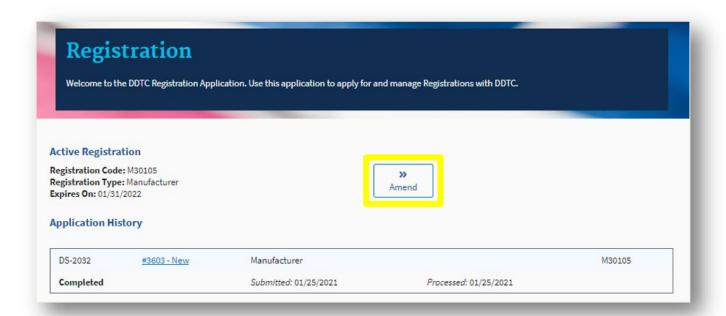


Registration Amendments



In addition to the annual renewal submission, a registrant may be required to submit amendments on an ad-hoc basis throughout the year. There are three types of registration amendments:

- Administrative
- Material Change
- Merger, Acquisition, and Divestitures (MAD)





Material Change Amendment



As indicated in the image below, there are 7 different types of **material change amendments**. These amendments all refer to specific scenarios and different fields within the DS-2032.

A registrant must, within five days of the event, provide to the Directorate of Defense Trade Controls a written notification, signed by a senior officer (e.g., chief executive officer, president, secretary, partner, member, treasurer, general counsel).

○ No	
O No	
* Material Change Type	
Only select Margar Acquisition or Divestiture (MAD)	when amending a registration as a direct result of a MAD change. Selecting a MAD Change Type may
	istration being returned without action if the registration is deemed to be only a material change.
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,
<u>Material Change Types</u>	MAD Change Types
Name	Merger
Address	Acquisition
_	
Legal Organizational Structure	Divestiture
Legal Organizational Structure Eligibility	Divestiture
	Divestiture
Eligibility	Divestiture



MAD Change Amendment



As indicated in the image below, there are 3 different types of **MAD change amendments**. These amendments all refer to specific scenarios and different fields within the DS-2032.

122.4(a) "A registrant must, within five days of the event, provide to the Directorate of Defense Trade Controls a written notification, signed by a senior officer" ... "(v) The establishment, acquisition, or divestment of a U.S. or foreign subsidiary or other affiliate who is engaged in manufacturing defense articles, exporting defense articles or defense services;

- Submit electronically via DECCS
- Attach 5-day notification letter

122.4(b) "60 days in advance of any intended sale or transfer to a foreign person of ownership or control of the registrant or any entity thereof

- Submit to <u>PM-DTCC-MAD@state.gov</u>
- Compliance Program in Microsoft Word format

MAD Change Types
Merger
Acquisition
Divestiture



Administrative Change Amendment



Administrative change amendments are used when the change is not material and not captured under the ITAR.

It is important to keep contact and reference information up to date. When DDTC sends notifications to the company/entity POC, corporate administrator, or other DECCS users, in order to not miss important updates.

 Users can distinguish administrative changes from material and MAD change amendments by selecting "No" to the question listed below, found within the DS-2032.

* Does the amendment involve a material change to the registra Yes	ition? ①
● No	



Amendment Best Practices



Choose the Correct Amendment

For all amendments, it is vital to note that users should only select the change type which applies to the amendment. For instance, while two material change types allow users to alter their registration type, selecting one versus the other can result in an RWA (be sure to review DDTC's FAQs and User Guides for more help).

Amendment Timeline

Don't start an amendment 60 days before your registration expiration date. Within the 60-day period, DDTC recommends you include the amendment content, such as a company name change or MAD change, with your renewal submission.

DDTC and DECCS

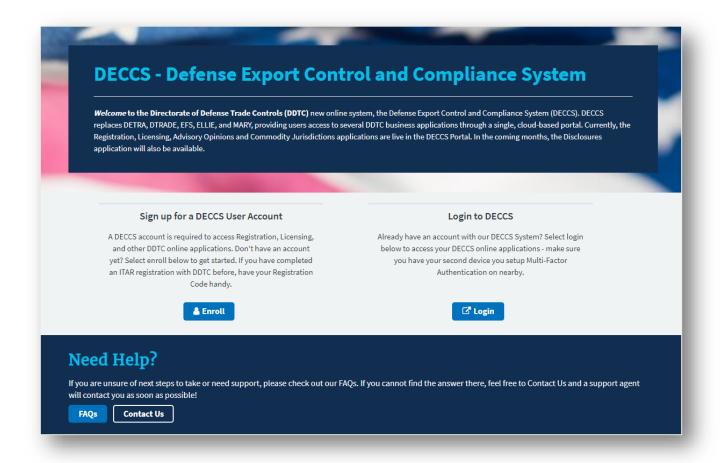
Announcements and Reminders



Need Help?



As a reminder, users can always access DDTC's help lines from the enrollment/login portal. Clicking "FAQs" will take users to DDTC's knowledge base and clicking "Contact Us" will bring users to the customer service landing page.





Webinar Survey



So, how was it?

Please take a few minutes before the question-and-answer session to complete the Census Bureau's Feedback Survey!

The link for the survey is in the Webex Chat

Or, you may follow this link: <u>Https://questionweb.com/5912</u>





DIRECTORATE OF DEFENSE TRADE CONTROLS

Questions?

To ask a question, type it into the WebEx Q&A chat box